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Signature



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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. PEA13 First Inventor Peabody, Alan M. Automated Peritoneal Dialys:

Date

EV 062578045 (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) opecurication [Total Pages (preferred arrangement set forth below) 3. X Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: la min - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i. 🔲 paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description 9. Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10. (when there is an assignee) Attomey English Translation Document (if applicable) 11 Drawing(s) (35 U.S.C. 113) [ Total Sheets Copies of IDS Information Disclosure 12 5. Oath or Declaration [ Total Pages Citations Statement (IDS)/PTO-1449 Preliminary Amendment Newly executed (original or copy) Partial Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) 13 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 15 Signed statement attached deleting inventor(s) Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet, See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Laber Correspondence address below Name McNeil Law Firm, P.A \* System And Process With In-Line Sterilization Of Dialysate <u>Address</u> P.O. Box 10827 State Zip Code City Greenville SC 29603 Fax USA 864-232-426 864-232-4437 Country Telephone Cort Flint 27,260 Registration No. (Attorney/Agent) Name (Print/Type) 2-14-02

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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Complet if Known				
Application Number				
Filing Date				
First Named Inventor	Peabody, Alan M.			
Examiner Name				
Group Art Unit				
Attorney Docket No.	PEA13			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit	3. ADDITIONAL FEES  Large Small Entity Entity				
Account 502079		Fee Paid			
Oeposit Account Name	105 130 205 65 Surcharge - late filing fee or oath				
X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
566 37 CFR 1,27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed: Check Credit card Money Other	112 920° 112 920° Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 390 216 195 Extension for reply within second month				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month				
101 710 201 355 Utiliby filing fee	118 1,390 218 695 Extension for reply within fourth month				
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
SUBTOTAL (4) (5) 270	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 370	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims 61 -20" = 41 × 9 = 369	143 440 243 220 Design issue fee				
Claims 2 3 2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	144 600 244 300 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be				
109 80 209 40 "Reissue independent claims over original patent	examined (37 CFR § 1.129(b))				
110 18 210 9 "Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application	- 11			
SUBTOTAL (2) (\$) 453	Other fee (specify)				
**or number previously paid, if greater, For Reissues, see above Reduced by Basic Filling Fee Paid SUBTOTAL (3) (\$)					

SUBMITTED BY Complete (if applicable Registration No. Telephone Name (PrintType) 27,260 864-232-4261 Cort (Attorney/Agent) Date 02-14-02 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Peabody, Alan M. et al.

Serial No.:

Examiner:

Filed:

Group No.:

For:

AUTOMATED PERITONEAL DIALYSIS SYSTEM AND PROCESS WITH IN-

LINE STERILIZATION OF DIALYSATE

Docket No. PEA13

**Assistant Commissioner for Patents Box Patent Application** Washington, D.C. 20231

Dear Sir:

## TRANSMITTAL LETTER

Please find the following correspondence items enclosed for filing in the United States Patent and Trademark Office:

- Utility Patent Application, including: 1.
  - (A) 55 pages of Specification, and
  - (B) 12 sheets of Drawings.
- Fee Transmittal (in duplicate). 2.
- Declaration For Patent Applications And Power of Attorney (signed by 3. one inventor, Alan M. Peabody).
- 4. Return receipt postcard.

Respectfully submitted,

Cort Flint, Registration No. 27,260

McNair Law Offices, P.A.

P.O. Box 10827

Greenville, S.C. 29603-0827 Telephone: (864) 232-4261

Attorneys for the Applicant

I hereby certify that this correspondence is being deposited with the United States Postal Service as "EXPRESS MAIL" mailing label EV 062578045 US with sufficient postage and mailing label affixed thereto, in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C., 20231 on February 14, 2002.

By: anne Hayle Myers